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## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

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<b>(54) Title:</b> PHOSPHAZENE POLYELECTROLYTES AS IMMUNOADJUVANTS		
<b>(57) Abstract</b>  An immunoadjuvant soluble polyphosphazene polyelectrolyte is disclosed. In one embodiment, the polymeric adjuvant is a poly(organophosphazene) with (i) ionized or ionizable pendant groups that contain, for example, carboxylic acid, sulfonic acid, or hydroxyl moieties, and (ii) pendant groups that are susceptible to hydrolysis under the conditions of use, to impart biodegradability to the polymer.		

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**PHOSPHAZENE POLYELECTROLYTES AS IMMUNOADJUVANTS**

This application is a continuation-in-part of U.S. Application Serial No. 08/090,841 filed on July 12, 1993.

**Background of the Invention**

This application is in the area of polymers for biomedical applications, and in particular describes polymers that can be used as immunoadjuvants.

**Vaccine Development**

A wide variety of antigens stimulate the production of antibodies in animals and confer protection against subsequent infection. However, some antigens are unable to stimulate an effective immune response.

The immunogenicity of a relatively weak antigen is often enhanced by the simultaneous administration of the antigen with an adjuvant, a substance that is not immunogenic when administered alone, but will induce a state of mucosal and/or systemic immunity when combined with the antigen. It has been traditionally thought that adjuvants, such as mineral oil emulsions or aluminum hydroxide, form an antigen depot at the site of injection that slowly releases antigen. Recent studies by Allison and Byars, in: "Vaccines: New Approaches to Immunological Problems:", R.W. Ellis, ed., p. 431, Butterworth-Heinemann, Oxford (1992) indicate that

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adjuvants enhance the immune response by stimulating specific and sometimes very narrow branches of the immune response by the release of cytokines. Unfortunately, many immunoadjuvants, such as Freund's Complete Adjuvant, are toxic and are therefore only useful for animal research purposes, not human vaccinations. Freund's Complete Adjuvant contains a suspension of heat-killed *Mycobacterium tuberculosis* in mineral oil containing a surfactant and causes granulomatous lesions in animals at the site of immunization. Freund's adjuvant may also cause the recipient of a vaccine to test positive for tuberculosis.

Some synthetic polyelectrolytes have been found to provide immunostimulation when combined with an antigen. For example, the adjuvant activity of polyacrylic acid (PAA), copolymers of acrylic acid and N-vinylpyrrolidone (CP-AAVPD), poly-2-methyl-5-vinyl pyridine (PMVP), poly-4-vinylN-ethylpyridinium bromide (PVP-R<sub>2</sub>) and similar compounds, when conjugated to an antigen, has been studied by Petrov et. al., *Jhurnal Vses. Khim. Ob-va im. D.I.Mendeleeva*, 33:22-42 (1988). The immunomodulatory effect of polyelectrolyte complexes containing many of these same polyelectrolytes has also been more recently reviewed by Petrov, et al., *Sov. Med. Rev. D. Immunol.*, 4:1-113 (1992). However, the toxicity and biodegradability of these polymers has not been studied and may prevent use of these polymers as adjuvants for use in humans.

A non-toxic adjuvant or carrier having the ability to stimulate an immune response to non-antigenic or weakly antigenic molecules would be useful in the development and administration of vaccines.

Therefore, it is an object of the present invention to provide an adjuvant that can be safely administered to humans and animals with minimal toxicity.

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It is a further object of the present invention to provide an adjuvant that is soluble and biodegradable.

It is a further object of the present invention to provide a vaccine that confers protection against an organism such as the influenza virus or *Clostridium tetani* bacteria.

It is a further object of the present invention to provide a rapid and efficient method of synthesizing a polymer, such as polyphosphazene, for use as an adjuvant.

### Summary of the Invention

A synthetic, water-soluble polyphosphazene is disclosed for use as an adjuvant. In a preferred embodiment, the phosphazene is a polyelectrolyte that is biodegradable and that exhibits minimal toxicity when administered to animals, such as humans.

In one embodiment, the polymeric adjuvant is an poly(organophosphazene) with (i) ionized or ionizable pendant groups that contain, for example, carboxylic acid, sulfonic acid, or hydroxyl moieties, and (ii) pendant groups that are susceptible to hydrolysis under the conditions of use, to impart biodegradability to the polymer. Suitable hydrolyzable groups include, for example, chlorine, amino acid, amino acid ester, imidazole, glycerol, and glucosyl.

Two examples of polyphosphazenes that are useful as immunoadjuvants are poly[di(carboxylatophenoxy)phosphazene-co-di(glycinato)phosphazene-co(carboxylatophenoxy)(glycinato)phosphazene] and poly[di(carboxylatophenoxy)phosphazene-co-di(chloro)phosphazene-co-(carboxylatophenoxy)-(chloro)phosphazene].

A vaccine composition is prepared by either mixing or conjugating the polymer adjuvant with an antigen prior to administration. Alternatively, the polymer and antigen can be administered separately to the same site.

When cross-linked with a multivalention, the polymer becomes less soluble, resulting in slower release of the polymer from the site of administration.

### Detailed Description of the Invention

The term amino acid, as used herein, refers to both natural and synthetic amino acids, and includes, but is not limited to alanyl, valinyl, leucinyl, isoleucinyl, prolinyl, phenylalaninyl, tryptophanyl, methioninyl, glycyl, serinyl, threoninyl, cysteinyl, tyrosinyl, asparaginyl, glutaminyl, aspartoyl, glutaoyl, lysinyl, argininyl, and histidinyl. The term amino acid ester refers to the aliphatic, aryl or heteroaromatic carboxylic acid ester of a natural or synthetic amino acid.

The term alkyl, as used herein, refers to a saturated straight, branched, or cyclic hydrocarbon, or a combination thereof, typically of C<sub>1</sub> to C<sub>20</sub>, and specifically includes methyl, ethyl, propyl, isopropyl, butyl, isobutyl, t-butyl, pentyl, cyclopentyl, isopentyl, neopentyl, hexyl, isohexyl, cyclohexyl, 3-methylpentyl, 2,2-dimethylbutyl, 2,3-dimethylbutyl, heptyl, octyl, nonyl, and decyl.

The term (alkyl or dialkyl)amino refers to an amino group that has one or two alkyl substituents, respectively.

The terms alkenyl and alkynyl, as used herein, refers to a C<sub>2</sub> to C<sub>20</sub> straight or branched hydrocarbon with at least one double or triple bond, respectively.

The term aryl, as used herein, refers to phenyl or substituted phenyl, wherein the substituent is halo, alkyl, alkoxy, alkylthio, haloalkyl, hydroxyalkyl, alkoxyalkyl, methylenedioxy, cyano, C(O)(lower alkyl), -CO<sub>2</sub>H, -SO<sub>3</sub>H, -PO<sub>3</sub>H, -CO<sub>2</sub>alkyl, amide, amino, alkylamino and dialkylamino, and wherein the aryl group can have up to 3 substituents.

The term aliphatic refers to hydrocarbon, typically of C<sub>1</sub> to C<sub>20</sub>, that can contain one or a combination of alkyl, alkenyl, or alkynyl moieties, and which can be straight, branched, or cyclic, or a combination thereof.



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The term halo, as used herein, includes fluoro, chloro, bromo, and iodo.

The term aralkyl refers to an aryl group with an alkyl substituent.

The term alkaryl refers to an alkyl group that has an aryl substituent, including benzyl, substituted benzyl, phenethyl or substituted phenethyl, wherein the substituents are as defined above for aryl groups.

The term heteroaryl or heteroaromatic, as used herein, refers to an aromatic moiety that includes at least one sulfur, oxygen, or nitrogen in the aromatic ring, and that can be optionally substituted as described above for aryl groups. Nonlimiting examples are furyl, pyridyl, pyrimidyl, thienyl, isothiazolyl, imidazolyl, tetrazolyl, pyrazinyl, benzofuranyl, benzothiophenyl, quinolyl, isoquinolyl, benzothienyl, isobenzofuryl, pyrazolyl, indolyl, isoindolyl, benzimidazolyl, purinyl, carbozolyl, oxazolyl, thiazolyl, isothiazolyl, 1,2,4thiadiazolyl, isooxazolyl, pyrrolyl, pyrazolyl, quinazolinyl, pyridazinyl, pyrazinyl, cinnolinyl, phthalazinyl, quinoxalinyl, xanthinyl, hypoxanthinyl, pteridinyl, 5-azacytidinyl, 5-azauracilyl, triazolopyridinyl, imidazolopyridinyl, pyrrolopyrimidinyl, and pyrazolopyrimidinyl.

The term "pharmaceutically acceptable cation" refers to an organic or inorganic moiety that carries a positive charge and that can be administered as a counteranion in a phosphazene polyelectrolyte.

The term heteroalkyl, as used herein, refers to an alkyl group that includes a heteroatom such as oxygen, sulfur, or nitrogen (with valence completed by hydrogen or oxygen) in the carbon chain or terminating the carbon chain.

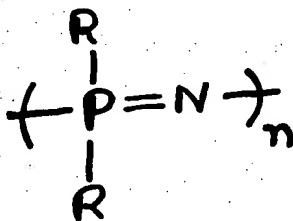
A synthetic polymer is provided for use as an immunoadjuvant. The polymer adjuvant is a polyphosphazene that is at least partially soluble in water (typically to an

extent of at least 0.001% by weight), an aqueous buffered salt solution, or aqueous alcohol solution. The polyphosphazene preferably contains charged side groups, either in the form of an acid or base that is in equilibrium with its counter ion, or in the form of an ionic salt thereof.

The polymer is preferably biodegradable and exhibits minimal toxicity when administered to animals, including humans.

### Selection of Polyphosphazene Polyelectrolytes.

Polyphosphazenes are polymers with backbones consisting of alternating phosphorus and nitrogen, separated by alternating single and double bonds. Each phosphorous atom is covalently bonded to two pendant groups ("R"). The repeat unit in polyphosphazenes has the following general formula:



wherein  $n$  is an integer.

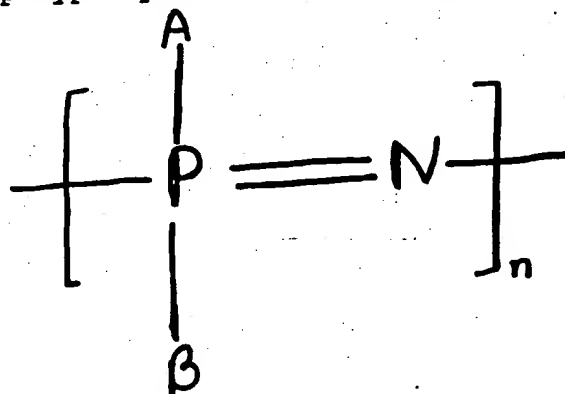
The substituent ("R") can be any of a wide variety of moieties that can vary within the polymer, including but not limited to aliphatic, aryl, aralkyl, alkaryl, carboxylic acid, heteroaromatic, carbohydrates, including glucose, heteroalkyl, halogen, (aliphatic)amino including alkylamino-, heteroaralkyl,

di(aliphatic)amino- including dialkylamino-, arylamino-, diarylamino-, alkylarylamino-, -oxyaryl including but not limited to -oxyphenylCO<sub>2</sub>H, -oxyphenylSO<sub>3</sub>H, -oxyphenylhydroxyl and -oxyphenylPO<sub>3</sub>H; -oxyaliphatic including

-oxyalkyl, -oxy(aliphatic)CO<sub>2</sub>H, -oxy(aliphatic)SO<sub>3</sub>H, -oxy(aliphatic)PO<sub>3</sub>H, and -oxy(aliphatic)hydroxyl, including -oxy(alkyl)hydroxyl; -oxyalkaryl, -oxyaralkyl, -thioaryl, -thioaliphatic including -thioalkyl, -thioalkaryl, -thioaralkyl, -NHC(O)O-(aryl or aliphatic), -O-[(CH<sub>2</sub>)<sub>x</sub>O]<sub>y</sub>-CH<sub>2</sub>)-O-[(CH<sub>2</sub>)<sub>x</sub>O]<sub>y</sub>(CH<sub>2</sub>)<sub>x</sub>NH(CH<sub>2</sub>)<sub>x</sub>SO<sub>3</sub>H, and -O-[(CH<sub>2</sub>)<sub>x</sub>O]<sub>y</sub>-(aryl or aliphatic), wherein x is 1-8 and y is an integer of 1 to 20. The groups can be bonded to the phosphorous atom through, for example, an oxygen, sulfur, nitrogen, or carbon atom.

In general, when the polyphosphazene has more than one type of pendant group, the groups will vary randomly throughout the polymer, and the polyphosphazene is thus a random copolymer. Phosphorous can be bound to two like groups, or two different groups. Polyphosphazenes with two or more types of pendant groups can be produced by reacting poly(dichlorophosphazene) with the desired nucleophile or nucleophiles in a desired ratio. The resulting ratio of pendant groups in the polyphosphazene will be determined by a number of factors, including the ratio of starting materials used to produce the polymer, the temperature at which the nucleophilic substitution reaction is carried out, and the solvent system used. While it is very difficult to determine the exact substitution pattern of the groups in the resulting polymer, the ratio of groups in the polymer can be easily determined by one skilled in the art.

In one embodiment, the immunoadjuvant is a biodegradable polyphosphazene of the formula:



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wherein A and B can vary independently in the polymer, and can be:

(i) a group that is susceptible to hydrolysis under the conditions of use, including but not limited to chlorine, amino acid, amino acid ester (bound through the amino group), imidazole, glycerol, or glucosyl; or

(ii) a group that is not susceptible to hydrolysis under the conditions of use, including, but not limited to an aliphatic, aryl, aralkyl, alkaryl, carboxylic acid, heteroaromatic, heteroalkyl, (aliphatic)amino- including alkylamino-, heteroaralkyl, di(aliphatic)amino including dialkylamino-, arylamino-, diarylamino-, alkylaryl amino-, -oxyaryl including but not limited to -oxyphenylCO<sub>2</sub>H, -oxyphenylSO<sub>3</sub>H, -oxyphenylhydroxyl and -oxyphenylPO<sub>3</sub>H; -oxyaliphatic including -oxyalkyl, -oxy(aliphatic)CO<sub>2</sub>H, -oxy(aliphatic)SO<sub>3</sub>H, -oxy(aliphatic)PO<sub>3</sub>H, and -oxy(aliphatic)hydroxyl, including -oxy(alkyl)hydroxyl; -oxyalkaryl, -oxyaralkyl, -thioaryl, -thioaliphatic including -thioalkyl, -thioalkaryl, or thioaralkyl;

wherein the polymer contains at least one percent or more, preferably 10 percent or more, and more preferably 80 to 90 percent or more, but less than 100%, of repeating units that are not susceptible to hydrolysis under the conditions of use, and

wherein n is an integer of 4 or more, and preferably between 10 and 20,000 to 300,000.

It should be understood that certain groups, such as heteroaromatic groups other than imidazole, hydrolyze at an extremely slow rate under neutral aqueous conditions, such as that found in the-blood, and therefore are typically considered nonhydrolyzable groups for purposes herein. However, under certain conditions, for example, low pH, as found, for example, in the stomach, the rate of hydrolysis of normally nonhydrolyzable groups (such as heteroaromatics

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other than imidazole) can increase to the point that the biodegradation properties of the polymer can be affected. One of ordinary skill in the art using well known techniques can easily determine whether pendant groups hydrolyze at a significant rate under the conditions of use. One of ordinary skill in the art can also determine the rate of hydrolysis of the polyphosphazenes of diverse structures as described herein, and will be able to select that polyphosphazene that provides the desired biodegradation profile for the targeted use.

The degree of hydrolytic degradability of the polymer will be a function of the percentage of pendant groups susceptible to hydrolysis and the rate of hydrolysis of the hydrolyzable groups. The hydrolyzable groups are replaced by hydroxyl groups in aqueous environments to provide P-OH bonds that impart hydrolytic instability to the polymer.

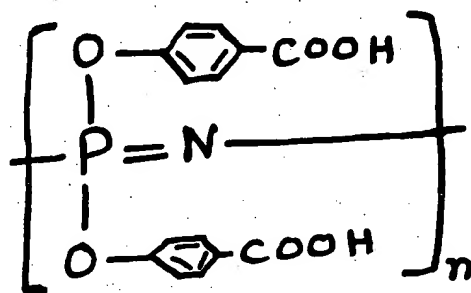
In other embodiments, the immunoadjuvant is: (i) a nonbiodegradable polyphosphazene wherein none, or virtually none, of the pendant groups in the polymer are susceptible to hydrolysis under the conditions of use, or (ii) a completely biodegradable polyphosphazene wherein all of the groups are susceptible to hydrolysis under the conditions of use (for example, poly[di(glycinato)phosphazene]).

Phosphazene polyelectrolytes are defined herein as polyphosphazenes that contain ionized or ionizable pendant groups that render the polyphosphazene anionic, cationic or amphophilic. The ionic groups can be in the form of a salt, or, alternatively, an acid or base that is or can be at least partially dissociated. Any pharmaceutically acceptable monovalent cation can be used as counterion of the salt, including but not limited to sodium, potassium, and ammonium. The phosphazene polyelectrolytes can also contain non-ionic side groups. The phosphazene polyelectrolyte can be biodegradable or nonbiodegradable under the conditions of

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use. The ionized or ionizable pendant groups are preferably not susceptible to hydrolysis under the conditions of use.

A preferred phosphazene polyelectrolyte immunoadjuvant contains pendant groups that include carboxylic acid, sulfonic acid, or hydroxyl moieties. While the acidic groups are usually on nonhydrolyzable pendant groups, they can alternatively, or in combination, also be positioned on hydrolyzable groups. An example of a phosphazene polyelectrolyte having carboxylic acid groups as side chains is shown in the following formula:



wherein n is an integer, preferably an integer between 10 and 10,000 to 300,000. This polymer has the chemical name poly[di(carboxylatophenoxy)phosphazene] or, alternatively, poly[bis(carboxylatophenoxy)phosphazene] (PCPP).

The phosphazene polyelectrolyte is preferably biodegradable to prevent eventual deposition and accumulation of polymer molecules at distant sites in the body, such as the spleen. The term biodegradable, as used herein, means a polymer that degrades within a period that is acceptable in the desired application, typically less than about five years and most preferably less than about one year, once exposed to a physiological solution of pH 6-8 at a temperature of approximately 25°C - 37°C.

Most preferably the polymer is a poly(organophosphazene) that includes pendant groups that include carboxylic acid moieties that do not hydrolyze under

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the conditions of use and pendant groups that are susceptible to hydrolysis under the conditions of use. Examples of preferred phosphazene polyelectrolytes with hydrolysis-sensitive groups are poly[di(carboxylatophenoxy)phosphazene-co-di(amino acid)phosphazene-co-(carboxylatophenoxy)(amino acid)phosphazene], specifically including poly[di(carboxylatophenoxy)phosphazene-co-di(glycinato)phosphazene-co-(carboxylatophenoxy)(glycinato)phosphazene], and poly[di(carboxylatophenoxy)phosphazene-co-di(chloro)phosphazene-co-(carboxylatophenoxy)(chloro)phosphazene].

The toxicity of the polyphosphazene determined using cell culture experiments well known to those skilled in the art. For example, toxicity of poly[di(carboxylatophenoxy)phosphazene] was determined in cell culture by coating cell culture dishes with the poly[di(carboxylatophenoxy)phosphazene]. Chicken embryo fibroblasts were then seeded onto the coated petri dishes. Three days after seeding the chicken embryo fibroblasts, the cells had become flattened and spindles formed. Under phase contrast microscopy, mitotic figures were observed. These observations provide evidence of the non-toxicity of poly[di(carboxylatophenoxy)phosphazene] to replicating cells.

Crosslinked polyphosphazenes for use as immunoadjuvants can be prepared by combining a phosphazene polyelectrolyte with a metal multivalent cation such as zinc, calcium, bismuth, barium, magnesium, aluminum, copper, cobalt, nickel, or cadmium.

#### Synthesis of Phosphazene Polyelectrolytes

Polyphosphazenes, including phosphazene polyelectrolytes, can be prepared by a macromolecular nucleophilic substitution reaction of poly(dichloro phosphazene) with a wide range of chemical reagents or mixture of reagents in accordance with methods known to those skilled in the art. Preferably, the phosphazene

polyelectrolytes are made by reacting the poly(dichloro phosphazene) with an appropriate nucleophile or nucleophiles that displace chlorine. Desired proportions of hydrolyzable to non-hydrolyzable side chains in the polymer can be obtained by adjusting the quantity of the corresponding nucleophiles that are reacted with poly(dichlorophosphazene) and the reaction conditions as necessary. Preferred polyphosphazenes for immunoadjuvant activity have a molecular weight of over 1,000.

For example, poly[(carboxylatophenoxy)(glycinato) phosphazene] (PC-GlPP) is prepared by the nucleophilic substitution reaction of the chlorine atoms of the poly(dichlorophosphazene) with propyl phydroxybenzoate and ethyl glycinate hydrochloride (PC-GlPP synthesis). The poly[(aryloxy)(glycinato)phosphazene] ester thus obtained is then hydrolyzed to the corresponding poly(carboxylic acid). Other polyphosphazenes can be prepared as described by Allcock, H.R.; et al., Inorg. Chem. 11, 2584 (1972); Allcock, H.R.; et al., Macromolecules 16, 715 (1983); Allcock, H.R.; et al., Macromolecules 19, 1508 (1986); Allcock, H.R.; et al., Biomaterials 19, 500 (1988); Allcock, H.R.; et al., Macromolecules 21, 1980 (1988); Allcock, H.R.; et al., Inorg. Chem. 21(2), 515521 (1982); Allcock, H.R.; et al., Macromolecules 22:7579 (1989); U.S. Patent Nos. 4,440,921, 4,495,174, 4,880,622 to Allcock, H.R.; et al.,; U.S. Patent No. 4,946,938 to Magill, et al., U.S. Patent No. 5,149,543 to Cohen et al., and the publication of Grolleman, et al., J. Controlled Release 3,143 (1986), the teachings of which, and polymers disclosed therein, are incorporated by reference herein.

#### **Selection of an Antigen**

The antigen can be derived from a cell, bacteria, or virus particle, or portion thereof. As defined herein, antigen may be a protein, peptide, polysaccharide,



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glycoprotein, glycolipid, nucleic acid, or combination thereof, which elicits an immunogenic response in an animal, for example, a mammal, bird, or fish. As defined herein, the immunogenic response can be humoral or cell mediated. In the event the material to which the immunogenic response is to be directed is poorly antigenic, it may be conjugated to a carrier such as albumin or to a hapten, using standard covalent binding techniques, for example, with one of the several commercially available reagent kits.

In one embodiment, the polymer is used to deliver nucleic acid which encodes antigen to a mucosal surface where the nucleic acid is expressed.

Examples of preferred antigens include viral proteins such as influenza proteins, human immunodeficiency virus (HIV) proteins, and hepatitis B proteins, and bacterial proteins and lipopolysaccharides such as gram negative bacterial cell walls and *Neisseria gonorrhea* proteins.

#### **Preparation of an Immunogenic Composition**

##### **Combining Antigen with polymer for simultaneous administration.**

An immunogenic composition, or vaccine, is prepared by combining the polymer adjuvant with an antigen. Approximately 0.5 - 0.0001 parts of antigen is added to one part polymer, preferably by stirring a solution of polymer and antigen until a solution or suspension is obtained, preferably for 10 minutes or more at 25°C. The polymer is preferably combined with the antigen using a method dispersing the antigen uniformly throughout the adjuvant. Methods for liquifying the polymer include dissolving the polymer in an aqueous-based solvent, preferably having a pH range of between 7.1 and 7.7, and melting the polymer. The latter is useful only when the antigen is stable at the polymer melting temperature. The antigen is then mixed with

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the polymer. The polymer and the antigen, in solid form, for example, when the antigen is lyophilized, can also be physically mixed together, for example, by compression molding. The polymer can also be used to encapsulate the antigen, for example, using the method of U.S. Patent 5,149,543 to Cohen, et al., the teachings of which are incorporate herein, or by spray drying a solution of polymer and antigen. Alternatively, microspheres containing the antigen and adjuvant can be prepared by simply mixing the components in an aqueous solution, and then coagulating the polymer together with the substance by mechanical forces to form a microparticle. The microparticle can be stabilized, if necessary or desired, using electrolytes, pH changes, organic solvents, heat or frost to form polymer matrices encapsulating biological material.

In a preferred embodiment, approximately one part of polymer is dissolved in 10 parts 3%  $\text{Na}_2\text{CO}_3$  aqueous solution while stirring, then 10 to 90 parts phosphate buffer pH 7.4 is slowly added.

#### Polymer-Antigen Conjugates

The polymer can also be covalently conjugated with the antigen to create a water-soluble conjugate in accordance with methods well-known to those skilled in the art, usually by covalent linkage between an amino or carboxyl group on the antigen and one of the ionizable side groups on the polymer.

#### Cross-linked Polymer Adjuvant

In an alternative preferred embodiment, the polymer is cross-linked with a multivalent ion, preferably using an aqueous solution containing multivalent ions of the opposite charge to those of the charged side groups of the polyphosphazene, such as multivalent cations if the polymer

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has acidic side groups or multivalent anions if the polymer has basic side groups.

Preferably, the polymers are cross-linked by di and trivalent metal ions such as calcium, copper, aluminum, magnesium, strontium, barium, tin, zinc, and iron, organic cations such as poly(amino acid)s, or other polymers such as poly(ethyleneimine), poly(vinylamine) and polysaccharides.

#### Additives to the polymer-adjuvant mixture.

It will be understood by those skilled in the art that the immunogenic vaccine composition can contain other physiologically acceptable ingredients such as water, saline or a mineral oil such as Drakeol<sup>TM</sup>, Markol<sup>TM</sup>, and squalene, to form an emulsion.

#### Administration of Polymer-Antigen Vaccine

The immunogenic composition can be administered as a vaccine by any method known to those skilled in the art that elicits an immune response, including parenterally, orally, or by transmembrane or transmucosal administration. Preferably, the vaccine is administered parenterally (intravenously, intramuscularly, subcutaneously, intraperitoneally, etc.), and preferably subcutaneously. Nonlimiting examples of routes of delivery to mucosal surfaces are intranasal (or generally, the nasal associated lymphoid tissue), respiratory, vaginal, and rectal.

The dosage is determined by the antigen loading and by standard techniques for determining dosage and schedules for administration for each antigen, based on titer of antibody elicited by the polymer-antigen administration, as demonstrated by the following examples.

Although in the preferred embodiment the polymerantigen mixture is administered simultaneously, in an alternative embodiment, the polymer and antigen are administered separately to the same or nearby site. The

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polymer serves to attract cells of the immune system to the site, where they process the antigen.

The polyphosphazene adjuvants and methods of synthesis will be further understood by reference to the following non-limiting examples.

**Example 1: Synthesis of poly[(carboxylatophenoxy)(glycinato)phosphazene].**

Poly[(carboxylatophenoxy)(glycinato)phosphazene] was prepared as follows. Poly(dichlorophosphazene) (5.0, 0.0425 moles) was dissolved in 300 ml tetrahydrofuran (THF). The sodium salt of propyl p-hydroxybenzoate (prepared by reacting propyl hydroxybenzoate (30.6 g, 0.17 moles) with 60% sodium hydride (6.12, 0.15 moles) in THF) was added dropwise to the dissolved polymer. After addition of the sodium salt, the reaction mixture was stirred at reflux for 2 days and monitored by  $^{31}\text{P}$  NMR.

Ethyl glycinate hydrochloride (23.63 g, 0.17 moles) was suspended in 50 ml toluene containing triethylamine (23.69, 0.17 moles) and refluxed for 3.5 hours. The reaction mixture was cooled in an ice bath and triethylamine hydrochloride precipitated from the solution. The solution was filtered and added to the polymer mixture at 0°C. The reaction mixture was allowed to warm to room temperature and stirred for 2 days. The polymer was purified by repeated precipitations into 100% ethanol.

The resulting polymer (0.5 g, 1.33 mmol) was dissolved in dry THF (20 ml). The solution was added slowly to a mixture of potassium tert-butoxide and water in dry THF. For the first 5 minutes, the mixture was cooled to 0°C; it was then stirred at room temperature for 40 hours. A large excess of ice water (300 ml) was added, and the solution was concentrated by evaporation. The polymer was isolated by acidification of the solution with hydrochloric acid to pH 5.5. The conditions of reactions and weight average molecular

weights of obtained polymers measured by gel permeation chromatography in water is shown in Table 1 below.

Table 1. Synthesis of poly[(carboxylatophenoxy) (glycinato) phosphazene].

No	Concentration of polymer % w/v mol/l	Concentration of potassium tert-butoxide	Concentration of water mol/l	Reaction time hours	MW kDa
1	0.42	0.30	0.1	42	80
2	0.42	0.15	0.05	18	130
3	0.42	0.04	0.05	5	170

The structures of polymers were confirmed by  $^1\text{H}$  and  $^{31}\text{P}$  NMR (JEOL FX90Q NMR spectrometer) and elemental microanalysis.

**Example 2: Synthesis of Poly[di(carboxylatophenoxy) phosphazene].**

Poly[di(carboxylatophenoxy)phosphazene] was prepared by chemical modification of poly(dichlorophosphazene) with the sodium salt of propyl p-hydroxybenzoate, followed by hydrolysis of ester groups to carboxylic acid as described in Allcock, H. R. & Kwon, S. (1989) *Macromolecules* 22, 75-79, the teachings of which are incorporated herein.

**Example 3: Synthesis of poly[(carboxylatophenoxy) (chloro)phosphazene].**

Poly[(carboxylatophenoxy)(chloro)phosphazene] was prepared as follows. Poly[di(chloro)phosphazene] (5.0 g, 0.0425 moles) was dissolved in 300 mL tetrahydrofuran (THF). The sodium salt of propyl p-hydroxybenzoate, prepared by reacting propyl hydroxybenzoate (15.52 g, 0.0864 moles) with 60 % sodium hydride (3.06 g, 0.0765 moles) in THF, was added dropwise to the dissolved polymer. After addition of the sodium salt, the reaction mixture was stirred at reflux for 2

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days and monitored by  $^{31}\text{P}$  NMR. The polymer was purified by repeated precipitations into water, ethanol and hexane.

Poly[(propylhydroxybenzoate)(chloro)phosphazene] (2.0 g) was dissolved in 200 mL dry THF. 20 g potassium tert-butoxide was dissolved in 200 mL THF. The basic solution was cooled to  $0^{\circ}\text{C}$ . Water (1 mL) was added to the butoxide/THF solution and stirred for 5 minutes. The polymer solution then was added dropwise to the aqueous base. The reaction mixture was warmed to room temperature and stirred for 40 hours. After 40 hours, the reaction mixture was poured over an ice-water mixture and the THF was allowed to evaporate. The aqueous solution was then dialyzed against water for 2 days. After dialysis was complete, the dialysate was acidified with HCl and the resultant white precipitate, poly[(carboxylatophenoxy) (chloro)phosphazene], containing potassium as a counterion, was washed with water and filtered from the solution.

Elemental analysis data: "P" - 10.39; "N" - 4.52; "C" - 47.26; "Cl" - 1.44, "K" - 2.61.

#### **Example 4: Degradation of Phosphazene Polyelectrolytes**

Degradation of poly[(carboxylatophenoxy)(glycinato)phosphazene] was studied in vitro at  $37^{\circ}\text{C}$ , in an air gravity incubator (Imperial II Incubator, Lab-Line Instruments, Inc.), with gentle agitation on a rotating shaker (ORBIT Shaker, LabLine Instruments, Inc., Melrose Park, Ill.) in vials containing a suspension of 50 mg of polymer in 5 ml of 13 mM HEPES buffered saline solution (pH 7.4). The molecular weight of polyphosphazenes was determined by a Perkin-Elmer Series 10 liquid chromatograph with ultraviolet and a refractive index detector by using an Ultragel 2000 column (Waters Chromatography Division, Millipore Corporation, Milford, MA). 13 mM Hepes buffered saline solution (pH 7.4) was used as an eluant. Chromatograms were processed by GPC 5 and CHROM 2 software (Perkin-Elmer) to calculate the weight-

average and number-average molecular weights using polyacrylic acid as a standard. The decline in polymer molecular weight over time is shown in Table 2.

**Table 2. Degradation of Poly[(carboxylatophenoxy)(glycinato)phosphazene].**

Time days	Weight average molecular weight kDa	Number average molecular weight kDa
0	132.0	70.0
15	40.6	13.8
60	6.3	1.5
180	6.0	0.9
240	0.9	0.5

Degradation of poly[(carboxylatophenoxy)(chloro)phosphazene] was studied in vitro at 37°C, in an incubator-shaker (New Brunswick Scientific, G 24) in vials containing a 0.2% solution of polymer in phosphate buffered saline solution (pH 7.4). The molecular weight of polyphosphazenes was determined by a Waters chromatograph with ultraviolet (Waters 486, Millipore Corporation, Milford, MA) and a refractive index detector (Waters 410, Millipore Corporation, Milford, MA) by using an Ultragel linear column (Waters Chromatography Division, Millipore Corporation, Milford, MA). Phosphate buffered saline solution (pH 7.4) was used as an eluant. Chromatograms were processed by Millenium 2.0 software to calculate the weight-average and number-average molecular weights using polyacrylic and polymethacrylic acid as the standards (1,250 Da - 1,100,000 Da). The decline in polymer molecular weight over time is shown in Table 3.

**Table 3. Degradation of Poly[(carboxylatophenoxy)  
(chloro)phosphazene].**

<b>Time days</b>	<b>Weight average molecular weight kDa</b>	<b>Number average molecular weight kDa</b>
0	120.0	42.0
1	113.0	42.0
6	105.0	41.0
8	100.0	39.0
10	96.0	39.0
14	95.0	39.0
18	86.0	35.0
28	73.0	31.0
35	67.0	30.0
59	59.0	28.0
91	51.0	23.0

**Example 5: Antibody Titers after Immunization with Tetanua  
Toxoid Admixed with Polyphosphazene Adjuvant.**

Antibody titers were determined in female BALB/c mice, age 7 to 8 weeks, that had been inoculated with tetanus toxoid admixed with various concentrations of polyphosphazene adjuvant.

An immunogenic composition containing tetanus toxoid in polyphosphazene was prepared as follows. 100 mg of poly[di(carboxylatophenoxy)phosphazene] was dissolved in 1 ml  $\text{Na}_2\text{CO}_3$  and 1 ml phosphate buffered saline (PBS), pH 7.2 was added. 1.4 ml tetanus toxoid (2.2 mg/ml or 1000 LF/ml, Connaught Laboratories, Inc., Swiftwater, PA) was added with 0.6 ml containing 0.025% Brij solution (10 ul of 10% Brij 58, Sigma Chemical Co., St. Louis, MO) to the polymer. Groups of five mice were immunized subcutaneously with a single dose of 25 ug tetanus toxoid admixed with dilutions



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containing 0.5% polyphosphazene, 0.05% polyphosphazene, or 0.005% polyphosphazene in  $\text{dH}_2\text{O}$ . A separate group of mice was immunized with a single subcutaneous dose of 25 ug of tetanus toxoid in complete Freund's adjuvant (SIGMA, St. Louis, MO). Blood samples were taken from the retroorbital sinus of  $\text{CO}_2$  anaesthetized mice and analyzed by an ELISA immunoassay for anti-tetanus toxoid IgG.

As shown in Table 4, the antigen polymer solution elicited in a dose dependent manner anti-tetanus toxoid ELISA antibodies. 0.5% PCPP enhanced the immune response to tetanus toxoid more than 100 fold compared to the response to tetanus toxoid in water. PCPP at 0.05% and 0.005% concentrations also elicited higher antibody titers than tetanus toxoid in water, although not as high as what was obtained with 0.5% PCPP. Furthermore, the 0.5% PCPP concentration was as strong an adjuvant as complete Freund's adjuvant.

Another immunogenic composition containing various doses of tetanus toxoid in polyphosphazene was prepared as follows. 100 mg of poly[di(carboxylatophenoxy) phosphazene] was dissolved in 1 ml  $\text{Na}_2\text{CO}_3$  and 1 ml phosphate buffered saline (PBS), pH 7.6 was added. Subsequently, tetanus toxoid (2.2 mg/ml) (Connaught Laboratories, Swiftwater, PA) was diluted 1:10 in water and the appropriate volume was admixed with 0.1% polyphosphazene.

Groups of three mice were immunized subcutaneously with a single dose of each immunogenic composition. Blood samples were taken from the retroorbital sinus of  $\text{CO}_2$  anaesthetized mice after 21 days after inoculation and analyzed by an ELISA immunoassay for anti-tetanus toxoid IgG (Table 5). As expected there was a clear antigen dose dependent response at all time points using soluble PCPP. The 25 ug tetanus toxoid dose formulated into 0.1% PCPP elicited ELISA titers that were dramatically higher than the

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same amount of antigen in water and compared very favorably with 25 ug of tetanus toxoid in complete Freund's adjuvant. It should be noted that at the 5, 1 and 0.2 ug antigen dose levels in PCPP the ELISA titers were still rising at week 25, whereas with the complete Freund's adjuvant formulation the ELISA titers had peaked earlier.

**Example 6: Antibody Titers after Immunization with Influenza Virus Admixed with a Polyphosphazene Adjuvant.**

An immunogenic composition containing influenza virus influenza (Influenza Branch, Center for Disease Control, Atlanta, GA) and 0.1% PCPP was formulated. Influenza was grown in eggs according to standard methods and quantitated by protein, haemagglutination and plaque assays. Influenza was formalin inactivated by the addition of a 38% formaldehyde solution at a final dilution of 1:4000.

The following influenza immunoassay protocol was performed to determine the influenza titers: 96-well ELISA microtiter plates were coated with influenza cell lysates at 10 ug/ml in carbonate buffer, pH 9.6, 100 µl per well and incubated 2 hours at 37°C. The plate was washed with 0.05% Tween 20/PBS (Sigma, St. Louis, MO) and 100 µl 2.5% bovine serum albumin/phosphate buffered saline (BSA/PBS) was added to each well as a blocking step. The plate was then incubated 1 hour at 37°C and washed with 0.05% Tween 20/PBS. 50 µl 1% BSA/PBS was added to all wells. Serum samples were diluted to 1:128 by adding 5 µl serum to 635 µl 1% BSA/PBS. 50 µl of the dilute serum sample to be assayed was added to the first well in a row, a 1:256 dilution. Both positive and negative controls were tested. Two-fold serial dilutions of serum sample were made by removing 50 µl from the first well in a row and adding the 50 µl with mixing to the second well; then removing 50 µl from the second well and adding it to the third well with mixing, and so on down the row, discarding 50 µl from the final or 12th well. The plates were then

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incubated 1 hour at 37°C and the plate washed with 0.05% Tween 20/PBS. To each well was added 100  $\mu$ l of OPD solution (0.4 mg/ml solution of O-phenylenediamine dihydrochloride (Sigma, St. Louis, MO) in 0.05 M phosphate-citrate buffer pH 5.0 (1 OPD tablet per 12.5 ml citrate buffer) containing 0.05% hydrogen peroxide (20.8  $\mu$ l 30% H<sub>2</sub>O<sub>2</sub> per 12.5 ml citrate buffer)). The color was allowed to develop for 30 minutes, then stopped by addition of 50  $\mu$ l 2 M H<sub>2</sub>SO<sub>4</sub>/ well. The absorbance was read at OD<sub>490</sub>, and the endpoint titer determined by finding the dilution of each serum sample that had an OD<sub>490</sub> greater than or equal to two times the OD<sub>490</sub> of the negative control at the same dilution.

The influenza hemagglutination inhibition antibody assay was done with heat-inactivated mouse serum that has been incubated for 30 minutes with 10% chicken red blood cells (Spafas) to remove non-specific inhibitors. Twofold dilutions of sera were added to a 96 well microtiter plate and 8 HA units of virus suspension in an equal volume were added to each well and incubated at room temperature for 30 minutes. A 0.5% suspension of chicken red blood cells was added to each well and incubated at room temperature for 45-60 minutes. The HAI titers are expressed as the reciprocal of the highest dilution that completely inhibits hemagglutination of erythrocytes.

The induction of influenza antigen specific neutralizing antibodies was measured by plaque reduction. This assay measures the amount of antibody resulting in a 50% reduction in influenza infectivity in cell culture. Serum samples were heat inactivated at 56°C for 30 minutes. Twofold dilutions of serum were made beginning at 1:50 in DMEM (JRH Biochemicals) and 0.5 ml of each dilution was added to 0.5ml of influenza virus at a titer of 400 pfu/ml. After incubation at 37°C for 1 hour, 250 $\mu$ l of each sample was allowed to absorb to a confluent monolayer of MDCK cells for

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1 hour. The adsorption mix was aspirated off, and the cells were overlaid with a MEM/0.6% agarose mixture containing 10µg/ml trypsin. Plaques were visible after 3 days. The agarose plugs were removed, and the monolayers stained with crystal violet(J.J. Baker). Plaques were counted, and a 50% reduction in titer is determined by comparing to 50% of the number of plaques in a control infection containing no serum.

Mice were inoculated subcutaneously with varying influenza doses formulated in 0.1% PCPP or 5 µg of influenza in water or complete Freund's adjuvant. As expected there was a dose dependent ELISA immune response (Table 6) at all time points after inoculation with the 0.1% PCPP formulated influenza antigen. In this experiment, 5 ug of influenza in 0.1% polyphosphazene induced a dramatically higher anti-influenza response than 5 ug of influenza in Complete Freund's Adjuvant. Furthermore, all antigen doses in the PCPP formulation elicited an immune response that was still rising at week 25 whereas the complete Freund's adjuvant formulation induced peak titers at earlier time points. This is similar to the results seen in the tetanus toxoid experiments. It is particularly noteworthy that the 0.04 ug dose in PCPP did not induce detectable antibody levels until week 25. This can be interpreted as evidence for sustained release of antigen.

The ability of 0.1% PCPP solution to induce functional antibodies was assayed in hemagglutination inhibition (Table 7) and neutralization (Table 8) assays. Once again, the PCPP formulation induced very high antibody activities in the hemagglutination and neutralization assays whereas there was little or no activity detectable in these assays in complete Freund's adjuvant formulations.

The influenza vaccine is administered to humans without alum because this adjuvant has very little positive effect on the immune response. In a mouse potency test, an antigen dose that induces HAI antibody titers  $\geq 40$  units is

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predictive of protection in a human. Thus, 0.04 ug of total influenza antigen in 0.1% PCPP was able to induce protective levels of antibody that were not achieved with 5 ug of unadjuvanted antigen

The antibody isotypes engendered in this response were also assayed (Table 9). Although the PCPP formulated influenza antigen induced largely an IgG1 response, significant IgG2a and 2b responses were also detected. The level of this response was greater than what was observed for complete Freund's adjuvant formulated influenza antigens. No IgG3 antibodies were detectable in this experiment.

**Example 7: Antibody Titers after Immunization with H. influenzae Type b Polysaccharide Antigen Admixed with a Polyphosphazene Adjuvant.**

All of the antigens discussed in the foregoing have been protein antigens. We next investigated the immunogenicity of the PRP polysaccharide derived from Haemophilus influenza type B (Hib). Polysaccharide antigens normally do not give an IgG response with memory unless they are conjugated to a protein antigen. Hib conjugated to tetanus toxoid was formulated with either alum or 0.1% PCPP (Table 10). Mice were injected subcutaneously with 2µg of PRP in either the alum or PCPP formulations. The antibody response was followed by determining the specific anti-PRP IgG levels at each time point. The alum adjuvanted immunogen elicited detectable antibody levels at the four week time point and elicited peak titers at week eight. There followed a rapid decrease of the antibody titers extending out to week 20. PCPP adjuvanted Hib antigen elicited 6 fold higher antibody levels at the four week time point than were seen with alum. PCPP adjuvanted antigen also elicited peak titers at week 8, but these titers were approximately ten fold higher than what was achieved with alum. Although the PCPP adjuvanted antibody titers decreased over the following

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weeks, they were still 2 fold higher at week 20 than what was achieved with the alum at the peak 8 week time point.

**Example 8: Antibody Titers after Immunization with Tetanus Toxoid Antigen Admixed with Polyelectrolytes.**

We compared the effect of PCPP with the effect achieved with two other polyelectrolytes, polymethylacrylic acid (PMA) and polyacrylic acid (PAA). Mice were injected with 1  $\mu$ g of TT formulated into 0.1% solutions of the polymers. The anti-TT ELISA titers were determined at weeks 3, 6 and 9. It is apparent from the data compiled in Table 11 that 0.1% PCPP stimulated a higher antibody response than what was seen with any of the molecular weights of PMA and PAA. It should be noted that there is some tendency for the antibody response to rise as the molecular weight of the PMA and PAA polymers increase. Nevertheless, 0.1% PMA having a molecular weight of 1.3 million daltons was unable to stimulate an immune response equivalent to 0.1% PCPP.

**Example 9: Antibody Titers after Immunization with Influenza Antigen Admixed with a Polyphosphazene of Various Molecular Weights.**

The PCPP solutions used in the experiments described in the above examples were polydispersed containing molecules ranging from 2,000 to circa 10,000,000 daltons. It was, therefore, of interest to examine the effect of PCPP molecular weight on the adjuvant property. PCPP was fractionated by sequential acid precipitation and FPLC column chromatography to acquire PCPP fractions having relatively narrow polydispersities (ranging from 1.37-2.01) and peak average molecular weights ranging from 3,000-1.8 Million. The HPLC analysis of these fractions is shown in Table 12. 0.1% concentrations of each of these fractions were mixed with 5 $\mu$ g of formalin inactivated influenza virus and injected subcutaneously into mice. An admixture of equal volumes of

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each one of these formulations was also prepared such that the final PCPP concentration was 0.1% and the amount of inactivated influenza virus was 5µg. Mice were injected with this PCPP recombined formulation. As a control, another group of mice were injected with influenza formulated into 0.1% unfractionated PCPP. The data shown in Table 13 demonstrate the positive correlation between increasing PCPP molecular weights and increasing antibody responses to the influenza antigen. The response elicited by the 0.1% PCPP having a molecular weight of 1.8 Million was essentially indistinguishable from the PCPP formulations of recombined fractions and the unfractionated PCPP.

**Example 10: Antibody Titers after Immunization with Tetanus Toxoid or Influenza Admixed with Various Concentrations of Three Different Phosphazene Polymer Adjuvants.**

100 mg of Poly[di(carboxylatophenoxy)phosphazene] (Polymer 1), poly[(carboxylatophenoxy)(glycinato)phosphazene] (Polymer 2) or poly[(carboxylatophenoxy)(chloro)phosphazene] (Polymer 3) were dissolved in 1 ml Na<sub>2</sub>CO<sub>3</sub> and 3 ml of PBS was added to the polymer solution.

Antibody titers were determined in groups of female BALB/c mice, three mice per group, age 7 to 8 weeks, after subcutaneous injection with 5 µg influenza admixed with Polymer 1 or Polymer 2. As shown in Table 14, flu in of Polymer 1 or Polymer 2 elicited serum IgG titers that were as high or higher than the same dosage of antigen in complete Freund's adjuvant. These serum IgG titers were maintained 21 weeks after immunization

Antibody titers were determined in groups of female BALB/c mice, three mice per group, age 7 to 8 weeks, after subcutaneous injection with 1 µg tetanus toxoid admixed with Polymer 1 or Polymer 3. As shown in Table 15, tetanus toxoid

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in Polymer 1 or Polymer 3 induced serum IgG titers that were maintained 25 weeks after immunization.

Table 4

**ELISA Antibody Titers After Administration of Tetanus Toxoid Admixed with Various Concentrations of Polyphosphazene Adjuvant or Freund's adjuvant**

	anti- TT ELISA titer at week			
	3	5	7	9
TT in water	1024	2048	2048	4096
TT/0.5%PCPP	65536	262144	524288	524288
TT/0.05%PCPP	16384	32768	32768	65536
TT/0.005%PCPP	4096	8192	32768	32768
TT/CFA	16384	131072	262144	262144

Mice were immunized with 25 µg of tetanus toxoid (TT).

Table 5

**ELISA Antibody Titers After Administration of Various Doses of Tetanus Toxoid Admixed with a Polyphosphazene Adjuvant or Freund's adjuvant**

	anti- TT ELISA titer at week TT				
	3	6	9	17	25
25 µgTT/0.1% PCPP	16384	65536	131072	>524288	262144
5 µgTT/0.1% PCPP	4096	16384	32768	65536	131072
1 µgTT/0.1% PCPP	2048	16384	16384	32768	65536
0.2 µgTT/0.1% PCPP	512	1024	1024	2048	4096
25 µg TT in water	2048	2048	8192	8192	16384
25 µg TT in CFA	16384	131072	262144	131072	131072



Table 6

ELISA Antibody Titers After Administration of Influenza Admixed with a Polyphosphazene Adjuvant or Freund's adjuvant

	anti- TT ELISA titer at week					
	3	6	9	17	25	37
5 µg flu/0.1% PCPP	2048	16384	16384	32768	65536	16384
1 µg flu/0.1% PCPP	4096	16384	16384	21768	131072	16384
0.2 µg flu/0.1% PCPP	<256	4096	4096	16384	65536	8192
0.05 µg flu/0.1% PCPP	<256	<256	<256	<256	4096	1024
5 µg flu in water	256	256	256	<256	<256	<256
5 µg flu in CFA	512	4096	4096	2048	1024	2048

Table 7

Hemagglutination Inhibition Antibody Titers After Administration of Influenza Admixed with a Polyphosphazene Adjuvant or Freund's adjuvant

	HAI titer at week					
	3	6	9	17	25	37
5 µg flu/0.1% PCPP	160	1280	1280	2560	640	1280
1 µg flu/0.1% PCPP	320	1280	1280	2560	2560	1280
0.2 µg flu/0.1% PCPP	40	640	640	2560	1280	1280
0.05 µg flu/0.1% PCPP	neg	40	80	160	160	160
5 µg flu in water	neg	neg	20	neg	neg	neg
5 µg flu in CFA	neg	80	40	40	40	40

Table 8

Plaque Neutralization Antibody Titers After Administration of Influenza Admixed with a Polyphosphazene Adjuvant or Freund's adjuvant

	HAI titer at week					
	3	6	9	17	25	37
5 µg flu/0.1% PPP	200	400	400	200	400	1600
1 µg flu/0.1% PPP	400	—	200	400	400	400
0.2 µg flu/0.1% PPP	<100	—	100	100	400	400
0.05 µg flu/0.1% PPP	<100	—	<100	<100	—	<100
5 µg flu in water	<100	<100	<100	<100	—	<100
5 µg flu in CFA	<100	<100	<100	<100	—	<100

Table 9

Influenza Specific Antibody Isotypes After Administration of Influenza Admixed with a Polyphosphazene Adjuvant or Freund's adjuvant

	Antibody isotype titer at week								
	3			6			9		
	IgG1	IgG2A	IgG2B	IgG1	IgG2A	IgG2B	IgG1	IgG2A	IgG2A
5 µg flu/0.1% PCPP	8192	<256	<256	131072	<256	256	131072	256	1024
1 µg flu/0.1% PCPP	8192	<256	<256	65536	256	1024	65536	1024	4096
0.2 µg flu/0.1% PCPP	256	<256	<256	16384	1024	2048	16384	1024	1024
5 µg flu/water	<256	1024	<256	256	1024	<256	256	512	<256
5 µg flu/ CFA	2048	<256	<256	16384	1024	512	16384	1024	256

All samples had IgG3 antibody titers <256.

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Table 10

**ELISA Antibody Titers After Administration of H. influenza Type b  
Polysaccharide Admixed with a Polyphosphazene Adjuvant or Alum adjuvant**

Alum					Polyphosphazene			
Anti-PRP IgG( $\mu$ g/ml)								
wk 4	wk 8	wk 12	wk 16	wk 20	wk 4	wk 8	wk 12	wk 16
5.48	10.00	5.97	5.45	3.84	32.16	109.31	50.80	35.51
18.60								

\* Geometric Mean Titer of 10 mice  
Immunization dose = 2 $\mu$ g PRP per mouse

Table 11

**ELISA Antibody Titers After Administration of Tetanus Toxoid Admixed with  
Polyelectrolytes**

	anti-flu ELISA titer at week		
	3	6	9
TT/PBS	512	512	256
TT/CFA	4096	4096	4096
TT/0.1% PCPP	16384	16384	65536
TT/0.1% PMA MW 7,000	1024	1024	1024
TT/0.1% PMA MW 25,000	512	512	512
TT/0.1% PMA MW 70,000	512	1024	1024
TT/0.1% PMA MW 110,000	512	1024	1024
TT/0.1% PMA MW 350,000	1024	1024	512
TT/0.1% PAA MW 2,000	1024	512	512
TT/0.1% PAA MW 35,000	512	512	1024
TT/0.1% PAA MW 500,000	4096	4096	4096
TT/0.1% PMA MW 1,300,000	4096	4096	4096

Table 12

**Molecular Weights and Polydispersities of Fractionated Polyphosphazene  
Determined on HPLC**

Polyphosphazene Fractionation-HPLC	
<u>Peak Average Molecular Weight</u>	<u>Polydispersity</u>
3,000	1.62
25,500	1.37
72,000	1.54
331,000	1.64
464,000	1.57
634,000	1.56
1,846,000	2.01

Table 13

**ELISA Antibody Titers After Administration of Influenza Admixed with a  
Polyphosphazene of Various Molecular Weights**

PBS	< 64	PCPP MW 464	< 64
	128		512
	< 64		256
	< 64		256
	< 64	512	
PCPP MW 3,000	< 64	PCPP MW 634,6000	512
	< 64		512
	< 64		1024
	< 64		1024
	< 64		1024
PCPP MW 25,500	256	PCPP MW	1024
		1,846,000	
	< 64		1024
	< 64		2048
	128		8192
	< 64		4096
PCPP MW 72,000	< 64	PCPP Fractions	51
	< 64	Recombined	1024

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< 64		2048
< 64		2048
256		128
PCPP MW 331,000	PCPP	8192
256	Unfractionated	
< 64		2048
128		8182
2048		2048
256		2048

Mice were immunized with 5  $\mu$ g formalin inactivated influenza virus per dose. All PCPP solutions were administered as 0.1 % concentrations.

Table 14

**ELISA Antibody Titers After Administration of Influenza Admixed with Poly[di(carboxylatophenoxy) phosphazene] (Polymer 1), Poly[(carboxylatophenoxy) (glycinato)phosphazene] (Polymer 2) or Freund's adjuvant**

		anti-flu ELISA titer at week					
		3	5	7	9	13	17 21
Flu in 0.1 % in Polymer 1	14096	8192	65535	32768	8192	32768	32768
Flu in 0.1 % in Polymer 2	21024	1024	4096	2048	1024	4096	4096
Flu Complete Freund's	256	1024	16384	1024	512	4096	4096

Mice were immunized subcutaneously 5 $\mu$ g whole formalin inactivated influenza virus particles

Table 15

**ELISA Antibody Titers After Administration of Tetanus Toxoid Admixed with Poly[di(carboxylato phenoxy)phosphazene] (Polymer 1) or Poly[(carboxylato phenoxy)(chloro)phosphazene] (Polymer 3)**

	anti-flu ELISA titer at week						
	<u>3</u>	<u>6</u>	<u>9</u>	<u>13</u>	<u>17</u>	<u>21</u>	<u>25</u>
TT in 0.1% in Polymer 14096		2048	4096	4096	8192	16384	16384
TT in 0.1% in Polymer 32048		512	2048	1024	1024	2048	2048

Mice were immunized subcutaneously 1 $\mu$ g TT.

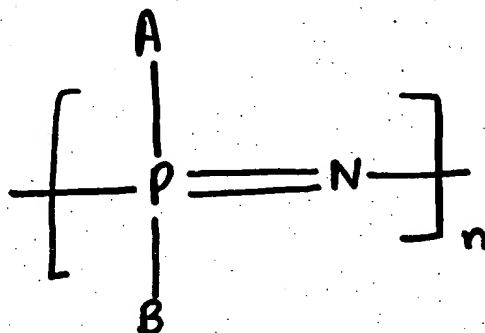
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Modifications and variations of the present invention, polymer adjuvants and methods of synthesis and use in vaccine compositions, will be obvious to those skilled in the art from the foregoing detailed description. Such modifications and variations are intended to come within the scope of the appended claims.

We claim:

1. A vaccine composition comprising a watersoluble polyphosphazene polyelectrolyte in combination with an antigen.

2. The composition of claim 1 wherein the polyphosphazene polyelectrolyte is of the formula



wherein A and B can vary independently in the polymer, and can be:

(i) a group that is susceptible to hydrolysis under the conditions of use; or

(ii) a group that is not susceptible to hydrolysis under the conditions of use selected from the group consisting of aliphatic, aryl, aralkyl, alkaryl, carboxylic acid, heteroaromatic, heteroalkyl, (aliphatic)amino-, heteroaralkyl, di(aliphatic)aminoarylamino-, diarylamino-, alkylarylamino-, -oxyaryl, -oxyphenylCO<sub>2</sub>H, -oxyphenylSO<sub>3</sub>H, -oxyphenylhydroxyl, -oxyphenylPO<sub>3</sub>H, -oxyaliphatic, -oxyalkyl, -oxy(aliphatic)CO<sub>2</sub>H, -oxy(aliphatic)SO<sub>3</sub>H, -oxy(aliphatic)PO<sub>3</sub>H, -oxy(aliphatic)hydroxyl, -oxyalkaryl, -oxyaralkyl, -thioaryl, -thioaliphatic, -thioalkaryl, thioaralkyl, or -NHC(O)O-(aryl



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or aliphatic),  $-O-[(CH_2)_xO]_y-(CH_2)_xNH_2$   $-O-[(CH_2)_xO]_y(CH_2)_xNH(CH_2)_xSO_3H$ , and  $-O-[(CH_2)_xO]_y-(aryl \text{ or aliphatic})$ , wherein  $x$  is 1-8 and  $y$  is an integer of 1 to 20; and wherein  $n$  is an integer.

3. The composition of claim 2, wherein the polymer contains at least 10 percent or more of repeating units that are not susceptible to hydrolysis under the conditions of use.

4. The composition of claim 2, wherein the polymer contains at least 90 percent or more of repeating units that are not susceptible to hydrolysis under the conditions of use.

5. The composition of claim 2, wherein the hydrolyzable groups are independently selected from the group consisting of chlorine, amino acid or amino acid ester (bound through the amino group), imidazole, glycerol, and glucosyl.

6. The composition of claim 1 wherein the polyphosphazene polyelectrolyte is biodegradable.

7. The composition of claim 1 wherein the polyphosphazene is mixed with the antigen.

8. The composition of claim 8 wherein the polyphosphazene polyelectrolyte is cross-linked with a multivalent cation.

9. The composition of claim 8 wherein the multivalent cation is selected from the group consisting of calcium, copper, aluminum, magnesium, strontium, barium, tin, zinc,

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iron, poly(amino acid), poly(ethyleneimine), poly(vinylamine) and polysaccharides.

10. The composition of claim 1 wherein the antigen is selected from the group consisting of a compound derived from a cell, bacteria, or virus particle, or portion thereof, wherein the compound is selected from the group consisting of proteins, peptides, polysaccharides, glycoproteins, glycolipids, nucleic acid, or combinations thereof.

11. The composition of claim 10 wherein the antigen is selected from the group consisting of influenza proteins, human immunodeficiency virus (HIV) proteins, hepatitis B proteins, bacterial proteins and bacterial lipopolysaccharides.

12. The composition of claim 1 wherein the polyphosphazene polyelectrolyte is covalently conjugated with the antigen.

13. The composition of claim 2 wherein the polyphosphazene polyelectrolyte is a phosphazene that has acidic side chains selected from the group consisting of carboxylic acid, sulfonic acid, and hydroxyl groups.

14. The composition of claim 10 wherein the phosphazene polyelectrolyte is biodegradable.

15. The composition of claim 1 for oral delivery.

16. The composition of claim 1 for delivery to the nasal associated lymphoid tissue.

17. The composition of claim 1 for delivery to therectum.

18. The composition of claim 1 for delivery to the

19. A method of causing an immune response in an animal comprising the steps of administering to the animal an antigen in combination with a water-soluble polyphosphazene polyelectrolyte.

20. The method of claim 19, wherein the antigen is conjugated with the polyphosphazene polyelectrolyte.

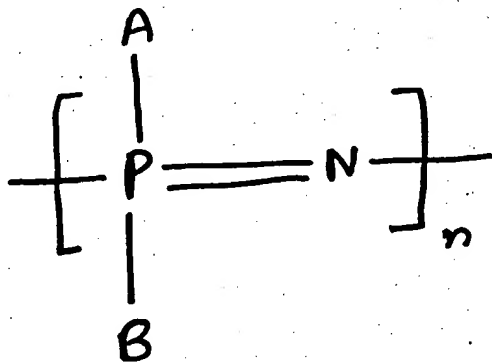
21. The method of claim 19 wherein the antigen and polyphosphazene are administered separately to proximate sites.

22. The method of claim 19 wherein the antigen and polyphosphazene are first combined and the combination is administered to the animal.

23. The method of claim 19 wherein the method of administration is parenteral.

24. The method of claim 19 wherein the method of administration is oral.

25. The method of claim 19 wherein the polyphosphazene polyelectrolyte is of the formula



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wherein A and B can vary independently in the polymer, and can be:

(i) a group that is susceptible to hydrolysis under the conditions of use; or

(ii) a group that is not susceptible to hydrolysis under the conditions of use selected from the group consisting of aliphatic, aryl, aralkyl, alkaryl, carboxylic acid, heteroaromatic, heteroalkyl, (aliphatic)amino-, heteroaralkyl, di(aliphatic)aminoarylamino-, diarylamino-, alkylarylamino-, -oxyaryl, -oxyphenylCO<sub>2</sub>H, -oxyphenylSO<sub>3</sub>H, -oxyphenylhydroxyl, -oxyphenylPO<sub>3</sub>H, -oxyaliphatic, -oxyalkyl, -oxy(aliphatic)CO<sub>2</sub>H, -oxy(aliphatic)SO<sub>3</sub>H, -oxy(aliphatic)PO<sub>3</sub>H, -oxy(aliphatic)hydroxyl, -oxyalkaryl, -oxyaralkyl, -thioaryl, -thioaliphatic, -thioalkaryl, thioaralkyl, -NHC(O)O-(aryl or aliphatic), -O-[(CH<sub>2</sub>)<sub>x</sub>O]<sub>y</sub>-CH<sub>2</sub>), -O-[(CH<sub>2</sub>)<sub>x</sub>O]<sub>y</sub>CH<sub>2</sub>)xNH(CH<sub>2</sub>)<sub>x</sub>SO<sub>3</sub>H, or -O-[(CH<sub>2</sub>)<sub>x</sub>O]<sub>y</sub>-(aryl or aliphatic), wherein x is 1-8 and y wherein n is an integer.

26. The method of claim 25, wherein the polymer contains at least 10 percent or more of repeating units that are not susceptible to hydrolysis under the conditions of use.

27. The method of claim 25, wherein the polymer contains at least 90 percent or more of repeating units that are not susceptible to hydrolysis under the conditions of use.

28. The method of claim 19 wherein the polyphosphazene polyelectrolyte is biodegradable.

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29. The method of claim 28 wherein the polyphosphazene polyelectrolyte contains hydrolyzable side chains selected from the group consisting of amino acid, amino acid ester, chlorine, imidazole, glycerol, and glucosyl.

30. The method of claim 19 wherein the polyphosphazene polyelectrolyte is cross-linked by a multivalent cation.

31. The method of claim 19 wherein the polyphosphazene polyelectrolyte is physically mixed with the antigen.

32. The method of claim 19 wherein the antigen is selected from the group consisting of a compound derived from a cell, bacteria, or virus particle, or portion thereof, wherein the compound is selected from the group consisting of proteins, peptides, polysaccharides, glycoproteins, glycolipids, nucleic acid, or combinations thereof.

33. The method of claim 32 wherein the antigen is selected from the group consisting of influenza proteins, human immunodeficiency virus (HIV) proteins, hepatitis B proteins, bacterial proteins and bacterial lipopolysaccharides.

34. The method of claim 19 for oral delivery.

35. The method of claim 19 for delivery to the nasal associated lymphoid tissue.

36. The method of claim 19 for delivery to the respiratory tract.

37. The method of claim 19 for delivery to the rectum.

## INTERNATIONAL SEARCH REPORT

International application No.

PCT/US94/07665

**A. CLASSIFICATION OF SUBJECT MATTER**

IPC(5) : A61K 39/00, 39/02, 39/12, 39/29, 39/385, 39/39

US CL : Please See Extra Sheet.

According to International Patent Classification (IPC) or to both national classification and IPC

**B. FIELDS SEARCHED**

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 424/193.1, 199.1, 204.1, 208.1, 227.1, 234.1, 280.1, 93.1, 93.4, 93.6

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

APS, FILE CA, FILE REGISTRY

**C. DOCUMENTS CONSIDERED TO BE RELEVANT**

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X --- Y	WO, A, 92/05778 (COHEN ET AL) 16 April 1992, see the entire article.	1-11,13-18 ----- 19,22-37
X	Journal of Controlled Release, Volume 170, issued 1991, J. H. Goedemoed et al, "Development of injectable antitumor microspheres based on polyphosphazene", pages 245-258, see page 245.	1, 2, 5, 6, 12, 15-20, 22, 23, 25, 28, 29, 34-37.
X	Journal of Controlled Release, Volume 4, issued 1986, C. W. J. Grolleman et al, "Studies on a Bioerodible Drug Carrier System Based on a Polyphosphazene", pages 119-131, see page 121.	1, 2, 5, 6, 12, 15-18.



Further documents are listed in the continuation of Box C.



See patent family annex.

* Special categories of cited documents:	T	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
*A* document defining the general state of the art which is not considered to be of particular relevance	X*	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
*E* earlier document published on or after the international filing date	Y*	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
*L* document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)	*G*	document member of the same patent family
*O* document referring to an oral disclosure, use, exhibition or other means		
*P* document published prior to the international filing date but later than the priority date claimed		

Date of the actual completion of the international search

16 SEPTEMBER 1994

Date of mailing of the international search report

26 SEP 1994

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## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US94/07665

## C (Continuation). DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
X	Macromolecules, Volume 16, No. 4, issued April 1983, Allcock et al, "Synthesis of Sugar-Substituted Cyclic and Polymeric Phosphazenes and Their Oxidation, Reduction, and Acetylation Reactions", pages 715-719, see the entire article.	1, 2, 5, 6, 12, 15-18
X — Y	US, A, 5,126,147 (SILVESTRI ET AL) 30 June 1992, columns 1-2.	1,2,6,7,10,11,14-19,22,23,25,28,31-37  3-5,8,9,13, 24,26,27,29,30
A	R. W. Ellis, ed., "VACCINES: NEW APPROACHES TO IMMUNOLOGICAL PROBLEMS" published 1992 by Butterworth-Heinemann, (MA), pages 431-449, see the entire article.	

# INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US94/07665

## A. CLASSIFICATION OF SUBJECT MATTER: US CL :

424/193.1, 199.1, 204.1, 208.1, 227.1, 234.1, 280.1, 93.1, 93.4, 93.6